

June 14-16, 2022 Zhuhai, China



The 5th Ocean Radar Conference for Asia-Pacific

Program Information

About the Conference

In consideration of the importance of HF Radar system, which can be applied to many situations, such as navigation, oil spill prediction, search and rescue, harmful algal bloom forecasting, and tsunami detection and warning. At the same time, the number of HF Radar sites among Asia-Pacific increased rapidly. However, the lack of cooperation and communication among these countries impeded the progress of entire Asian Region. The first Ocean Radar Conference for Asia-Pacific (1st ORCA) was initiated by Prof. Sang-Ho Lee to promote international communication and has been successfully held on May 17-18, 2012, in Korea. Then, the second Ocean Radar Conference for Asia-Pacific (2st ORCA) was hosted by Taiwan Ocean Research Institute (TORI) on April 2-4, 2014, in Kaohsiung, Taiwan, China. The third ORCA was held on April 14-16, 2016, in Wuhan, China, hosted by Wuhan University. And the fourth ORCA was hosted by the University of the Ryukyus in June 2018 in Okinawa, Japan.

Under the impact of the global pandemic, it is difficult and challenging to host the fifth ORCA. The fifth Ocean Radar Conference for Asia-Pacific (ORCA2022) will be held on June 14-16, 2022 in Zhuhai, China, organized by Sun Yat-sen University. The Ocean Radar Conference for Asia-Pacific intends to share experiences on HF radar network planning, operation, maintenance, and data management and analysis. To exchange ideas about applications and research results. To discuss issues that are common to all operators and end-users in Asia-Pacific. To build relationships across national boundaries to foster the development and growth of HF radar observation networks along the Asian seas and Pacific Ocean.

会议简介

目前,高频地波雷达系统的应用越来越广泛,如船舶导航、溢油预测、海上目标搜索和 救援、赤潮预报、海啸探测和预警等。由于高频雷达拥有如此众多的应用优势,亚太地区的 高频雷达网也再迅速扩展。而由于某些原因,各个国家之间在高频雷达方面的合作和交流还 是较少,进而阻碍了整个亚太地区高频雷达的发展。2012 年 5 月 17-18 日,韩国 Sang Ho Lee 教授为了促进亚太地区各个国家高频雷达技术的交流号召并且举办了亚太地区第一届海洋 雷达会议。随后,台湾海洋研究所于 2014 年 4 月 2-4 日在高雄举办了第二届海洋雷达会议。 第三届海洋雷达会议于2016年4月14-16日召开,由武汉大学承办。第四届会议于2018年 6月在日本冲绳举办,由琉球大学承办。

受全球疫情影响,举办本次会议充满了困难与挑战。第五届亚太海洋雷达会议 (ORCA2022)将于2022年6月14-16日在中国广东省珠海市举办,由中山大学承办。亚太海 洋雷达会议的主旨是分享亚太地区各个国家高频地波雷达网的设计、操作、维护以及数据管 理等相关技术。而此次中山大学亚太海洋雷达会议的目的是对亚洲和泛太平洋国家和地区之 间高频雷达系统的网络规划、运行、维护以及数据管理与分析的经验进行交流,交换应用和 研究意见,构建亚太地区所有海洋雷达用户共同体,强化国家与地区之间的技术合作以促进 亚太地区高频雷达观测网的发展。

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ORCA2022 Conference Agenda Program

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Tuesday, June 14			
09:00-19:00	09:00-19:00 On-site Registration		
Wednesday	, June 15		
07:30-08:30		On-site Registration	
Chairs: Prof.	Dongxiao Wa	ng (CHINA)	
Beijing time (UTC+8)	UTC	Title	Speaker
08:30-08:50	00:30-00:50	Opening & Welcoming Remarks	Hui Wang & Dongxiao Wang & Xiongbin Wu
08:50-09:00	00:50-01:00	Group Photo for Memory Online: Turn on the camera for a scree On-site: Outside	enshot
09:00-09:30	01:00-01:30	Research on HF Radar Cross Section Models of Ocean Surface (30 min)	Weimin Huang
09:30-09:55	01:30-01:55	The Global High Frequency Radar Network (25min)	Hugh Roarty
09:55-10:20	01:55-02:20	Application of Oceanic HF Radars: Challenges Remaining (25min)	Xiongbin Wu
10:20-10:45	02:20-02:45	Coffee Break	
10:45-11:10	02:45-03:10	Data product of HF Radar data from Ocean Networks Canada (25min)	Manman Wang
11:10-11:35	03:10-03:35	Submesoscale eddies and tidal currents in eastern Guangdong identified using high-frequency radar observations (25min)	Dongxiao Wang
11:35-12:00	03:35-04:00	Shipborne oceanic high-spectral-resolution lidar for accurate estimation of seawater depth-resolved optical properties (25min)	Yudi Zhou
12:00-13:30	04:00-05:30	Luncheon	
Chairs: Prof.	Jun Zhao (Cl	HINA)	1
14:30-14:55	06:30-06:55	Marine disaster prevention and mitigation based on big data (25min)	Haoyu Jiang & Lin Mu
14:55-15:20	06:55-07:20	Harvesting energy from ocean waves for powering mobile marine observation platforms: Design, simulation, and experimental tests (25min)	Li Hui & Liguo Wang
15:20-15:45	07:20-07:45	Wave and wind measurements using Multifrequency HF radar (25min)	Chen Zhao
15:45-16:10	07:45-08:10	Study of Lagrangian pair dispersion in the Gulf of Tonkin using surface current data measured by HF radar (25min)	Manh Cuong Tran
16:10-16:25	08:10-08:25	Coffee Break	
16:25-16:50	08:25-08:50	New sights into the ocean surface currents near	Weili Wang

		the Bohai strait revealed by HF radar (25min)		
		Short-term prediction of sea surface flows in the		
16 50 17 15	08.50 00.15	Guangdong-Hong Kong-Macao Greater Bay	Lingna Yang &	
10:30-17:13	08:30-09:13	Area based on High Frequency radar	Lei Ren	
		observations (25min)		
17.15 17.40	00.15 00.40	High-speed target detection and motion	C I.	
1/:13-1/:40	09:13-09:40	parameters estimation with HF radar (25min)	Gan Liu	
17:40-19:30	09:40-11:30	Banquet		
Thursday, 3	June 16			
Chairs: Prof.	Xiongbin Wu	(CHINA)		
00.00 00.25	01.00 01.25	Multi-scale Analysis and Data Assimilation of	L'angland Ca	
09:00-09:25	01:00-01:25	HF-Radar Currents (25min)	Jianznong Ge	
	01:25-01:50	Marine Target Monitoring with Compact		
09:25-09:50		HFSWR: From Shore-based to Shipborne	Yonggang Ji	
		Systems (25min)		
00.50 10.15	01.50 02.15	ZJU Oceanic lidars: Emulator, Instruments, and	DanaLin	
09:30-10:13	01:30-02:13	Application (25min)	Dong Liu	
10:15-10:30	02:15-02:30	Coffee Break	_	
		Flow dynamics at the coasts of Phu Yen,	These Lines	
10:30-10:55	02:30-02:55	Vietnam, at the onset of Southwest Monsoon	Thann Huyen	
		(25min)	Iran	
10.55 11.20	02.55 02.20	Spaceborne oceanic lidar emulator and its		
10:33-11:20	02:55-03:20	applications (25min)	Qun Liu	
11:20-11:50	03:20-03:50	Panel Discussion (30 min)	Dongxiao Wang	
11:50-12:00	03:50-04:00	Ending	Dongxiao Wang	
12:00-13:30	04:00-05:30	Luncheon		

POSTER (June 15-16)			
Number	Title	Speaker	
P1	Surface Currents in the Southwestern Taiwan Strait in winter	Li Wang	
D2	An Improved Nonlinear Iterative Method for Directional Ocean	Eugi Mo	
F Z	Wave Spectrum Inversion with HF Radar	ruqi Mo	
D2	Analysis of Direction-of-Arrival Estimation for a Floating	Xianzhou Yi	
P3	High-Frequency Radar with Yaw Rotation		
D4	Retrieval of Ocean Surface Wind Field Using a Dual-Frequency	Xiaoyan Li	
P4	MIMO HF Surface Wave Radar System		
	Research on Characteristics of the First-order Sea Clutter for		
P5	Floating Platform High Frequency Hybrid Sky-surface Wave	Qing Zhou	
	Radar		

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Session 1: Ocean Radar Network

Research on HF Radar Cross Section Models of Ocean Surface

Weimin Huang

Department of Electrical and Computer Engineering, Memorial University, Canada

High frequency surface wave radar (HFSWR) has been widely deployed for ocean remote sensing. The HF radar cross section (RCS) models of sea surface are critical for such application and they can be derived based on either the small perturbation theory or the generalized function approach. In this study, recent progress on the development of HF sea surface RCS models based on the generalized function approach is summarized. These include the HF RCS model for the case of floating platform incorporating the six degree of freedom oscillation motion, a model for mixed-path ionosphere-ocean propagation, and the model of the ocean surface with arbitrary roughness scales. Meanwhile, ongoing research of relevant work is also briefly discussed.

The Global High Frequency Radar Network

Hugh Roarty

Rutgers University, USA

Academic, government, and private organizations from around the globe have established high frequency radar (HFR) networks at regional or national levels. Partnerships have been established to coordinate and collaborate on a single global HFR network. These partnerships were established in 2012 as part of the Group on Earth Observations (GEO) to promote HF radar technology and increase data sharing among operators and users. The main product of High Frequency radar networks are maps of ocean surface currents within 200 km of the coast. The technology is becoming a standard component for ocean observing systems. In 2017 the Global HFR Network was recognized by the Joint Technical Commission for Oceanography and Marine Meteorology as an observing network of the Global Ocean Observing System (GOOS). In this paper we will discuss the development of the network as well as establishing goals for the future. The U.S. High Frequency Radar Network (HFRNet) has been in operation for over thirteen years, with representation from 31 organizations including measurements from Canada and Mexico. HFRNet currently holds a collection from over 150 radar installations totaling millions of records of surface ocean velocity measurements. During the past 10 years in Europe, HFR networks have been showing steady growth with over 50 stations currently deployed and many in the planning stage. In Asia and Oceania countries, more than 110 radar stations are in operation for marine safety, oil spill response, tsunami warning, coastal zone management and understanding of ocean current dynamics, depending mainly on each country's coastal sea characteristics. These radar networks are examples of national inter-agency and inter-institutional partnerships for improving oceanographic research and operations. As global partnerships grow, these collaborations and improved data sharing enhances our ability to respond to regional, national, and global environmental and management issues.

Keywords: HF radar, remote sensing, ocean observing, global ocean observing system

ZJU Oceanic lidars: Emulator, Instruments, and Application

LIU Dong

Zhejiang University

During the past ten years, Zhejiang Univeristy has developed more than 10 backscatter lidars for atmosphere and ocean studies. In this talk, the emulator developed for forward and backward simulation of oceanic lidar will be presented. With the help of the emulator, the optimized wavelengths of the oceanic lidar will be given with different detecting objects. Introduction of some oceanic lidar instruments will be given and some field experiments will be illustrated. The application of oceanic lidar for suspended particulates like phytoplankton are given. **Keywords:** Oceanic lidar; Emulator; phytoplankton; backscatter; multiple scattering **References:**

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Marine Target Monitoring with Compact HFSWR: From Shore-based to Shipborne Systems

Yonggang Ji¹, Yiming Wang², Weifeng Sun¹, Changjun Yu³, Ming Li⁴, Jie Zhang¹

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3. Harbin Institute of Technology (Wei Hai)

4. Ocean University of China

HFSWR can be used for marine target monitoring and sea-state remote sensing, and most HFSWRs for ship target monitoring are large array systems. Compared with the large array system, the compact HFSWRs with small aperture have advantage of smaller radar site occupation, lower power consumption, and therefore can be easier deployment and maintenance. With the development of HFSWR technology, the compact HFSWR can be deployed on the shipborne platform to further expand its detection range. In this talk, firstly, the research progress related to target detection with shore-based compact HFSWR is introduced. Then, three kinds of shipborne HFSWR, including shipborne monostatic HFSWR, coast-ship bistatic HFSWR and shipborne bistatic HFSWR, are introduced. For the shipborne HFSWR, the movement of shipborne platform has a great impact on their radar echoes, thus affects the performance of target detection. Through the simulation of the first-order sea clutter and target echo under different motions of shipborne platform, the influence of sea clutter broadening and corresponding blind area of sea clutter on target monitoring are analyzed. Then their applicable characteristics and the technical problems to be solved in marine target monitoring for those shipborne HFSWR are discussed. Finally, some experimental results, including target monitoring results and verification using measured data, marine target echo characteristics and motion compensation for shipborne HFSWR, are presented.

New sights into the ocean surface currents near the Bohai strait revealed by HF radar

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The North-West Yellow Sea (NWYS) is a shallow semi-enclosed sea, to the north of Shandong peninsula, which connects the Bohai Sea and Yellow Sea through the Bohai strait. Previous work studied the region mainly by numerical tools or limited measurements from traditional instruments. In this paper, it is for the first time that the surface circulations in a large area are presented with a long time period of two years, with data collected from a routinely operated high-frequency radar (HFR) system with a pair of OSMAR071G type radars.

The HFR system continuously provides mappings of up to 100km off the coast, in near-real-time with high spatial and temporal resolutions. Benefiting from the new equipment, known for precision of the coastal surface current, the sea surface currents in this region are studied in climate. The radar-derived current measurements are examined using ADCP measurements. They are also compared with popular reanalysis datasets.

The flowing pattern varies significantly in different seasons. Seasonal and annual patterns are described. It is ovserved that the North Shandong Coastal Current (NSCC) is more significant in spring and fall rather than in winter and summer when the monsoon is more severe. The currents are observed to show good coincidence with local winds.

Keywords: High-frequency radar, North-West Yellow Sea, North Shandong Coastal Current, surface currents

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Shipborne oceanic high-spectral-resolution lidar for accurate estimation of seawater depth-resolved optical

properties

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9. South China Sea Institute of Oceanology

10. Sun Yat-sen University

11. Prince of Songkla University

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Lidar techniques present a distinctive ability to resolve vertical structure of optical properties within the upper water column at both day- and night-time. However, accuracy challenges remain for existing lidar instruments due to the ill-posed nature of elastic backscatter lidar retrievals and multiple scattering. Here we demonstrate the high performance of, to the best of our knowledge, the first shipborne oceanic high-spectral-resolution lidar (HSRL) and illustrate a multiple scattering correction algorithm to rigorously address the above challenges in estimating the depth-resolved diffuse attenuation coefficient Kd and the particulate backscattering coefficient bbp at 532 nm. HSRL data were collected during day- and night-time within the coastal areas of East China Sea and South China Sea, which are connected by the Taiwan Strait. Results include vertical profiles from open ocean waters to moderate turbid waters and first lidar continuous observation of diel vertical distribution of thin layers at a fixed station. The root-mean-square relative differences between the HSRL and coincident in situ measurements are 5.6% and 9.1% for

Kd and bbp, respectively, corresponding to an improvement of 2.7-13.5 and 4.9-44.1 times, respectively, with respect to elastic backscatter lidar methods. Shipborne oceanic HSRLs with high performance are expected to be of paramount importance for the construction of 3D map of ocean ecosystem.

Keywords: high-spectral-resolution lidar; particulate backscatter coefficient; diffuse attenuation coefficient; multiple scattering; thin layer

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Session 2: Data Management and Analysis

Multi-scale Analysis and Data Assimilation of HF-Radar Currents

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The HF radar data of Zhoushan and Jiangsu coastal areas were applied to study the multi-scale circulation variations and numerical data assimilation. Based on years of HF radar data in Zhoushan sea area, the dynamic processes of surface ocean current at multiple time scales in tidal cycles, monthly, seasonly and inter annual variabilities, and extreme weather condition, are interpreted. Based on the FVCOM model, a Three-Dimensional Variational Assimilation (3D-VAR)

module for unstructured meshes was developed, and a generalized radar assimilation scheme was established. The 3D-VAR scheme was used to carry out surface current assimilation in space and the Nudging scheme was used to extend the assimilation effect of 3D-VAR to the time dimension. The assimilation model was applied to Zhoushan and Jiangsu regions, The 3D-VAR assimilation can effectively improve the correlation between model and radar data in space, and effectively reduce the error between them.

Keywords: HF-Radar; Data Assimilation; FVCOM

Wave and wind measurements using Multifrequency HF radar

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HF radars have been extensively used for current observation. However, wave and wind measurement with HF radars is challenging. In order to obtain robust wave measurements in complex and various sea states, a wave inversion method is proposed for a multifrequency HF radar. The nondirectional wave spectrum is directly retrieved from the radar echoes collected at various frequencies (up to four), and then, the significant wave height and the mean wave period are obtained from the integration of the derived nondirectional wave spectrum. Simulation analysis is carried out to evaluate the performance of the proposed method for a case in four various radar frequencies. Then, the proposed method is applied to a three-day observation to validate its advantages by comparing the radar-estimated and WaveRider-measured nondirectional wave spectra. In addition, a 14-day dataset collected with an HF radar operating at 8.267 and 19.2 MHz is selected for further validation via comparisons between the radar-estimated and the buoy-measured wave parameters.

Recently, the wind speed inversion method based on the first-order HF radar sea echoes attracts much attention. However, most methods, which use radar data at a fixed operating frequency, provide a limited range for wind speed measurement. To overcome the drawback, a wind speed inversion method based on radar data collected with a multifrequency HF radar is proposed. This new method first fits the relationship between the wind speed and the power of the

broad-beam first-order HF radar sea echoes and then combines the fit models with the multifrequency HF radar data to estimate wind speed. The proposed method makes use of the information contained in the first-order sea echoes of various operating frequencies so as to improve the performance of the wind speed measurements. Finally, a comparison between radar-estimated and anemometer-measured wind speeds is made to validate the proposed method. **Keywords:** Multifrequency; HF radar; wave measurements; wind measurements

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Study of Lagrangian pair dispersion in the Gulf of Tonkin using surface current data measured by HF radar

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In recent years, the Lagrangian metrics were extensively used for characterizing the turbulence and mixing of the flow. However, the drifter data are not always available for assessment of the Lagrangian statistic. In this regard, the use of the cost-effective remote sensing technique by HF radar allows assessing the small-scale transport in the coastal region. In this work, surface current data are collected from two long-range CODAR Seasonde HF radar stations operating in the Gulf of Tonkin during two year 2014 - 2016. The surface current fields are

reprocessed by using a variational approach (EOF/2dVar) to provide a gaps-free dataset which allows the spatial and temporal assessment of the surface circulation in the data-poor region of the southern Gulf of Tonkin (the GoT). Comparing with the traditional OMA method for gap-filling, the variational approach shows 30% better of Lagrangian prediction skill. The Lagrangian pair statistics, using the mean square separation of particle pairs and the Finite Scale Lyapunov Exponents (FSLEs), are then investigated using the virtual particles advected by the radar-derived flow field. The analysis suggests a seasonality in the dispersion processes. A further analysis using the high-resolution numerical model suggests an agreement with the one advected by the radar-derived velocity field, however, highlights the impacts of monsoon wind and the river runoff on turbulent dispersion and transport of passive tracers.

Keywords: Relative dispersion; Coastal turbulence; HF radar; Gulf of Tonkin

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Data product of HF Radar data from Ocean Networks Canada

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 Juan de Fuca Strait: VJOR, VROC, 16 MHz
 Prince Rupert: VDIG, VRID, 25 MHz
 SOG: VION, VGPT, VATK, VCOL, 25MHz
 WERA: Tofino, 13.5 MHz
 Hecate Strait: SAND, BONI, 5MHz
 Halifax Harbour: SCOV, 5MHz

1 Introduction

Ocean Networks Canada (ONC), an initiative of the University of Victoria, operates 11 high-frequency (HF) radar systems along Canada's west coast and operating another one from the east coast of Canada in Halifax Harbour with various frequency. Four radars from manufacture of SeaSonde (CODAR) with frequency of 25 MHz have been installed in the strait of Georgia since 2011; and two additional CODAR systems with the same frequency have been used to collect data since 2016 in Prince Rupert. Two CODAR systems with lower frequency of 16 MHz have been fully operated in Juan de Fuca Strait since 2019. The department of Fishers and Oceans Canada has transferred two other CODAR systems to ONC that are operating in northern part of Vancouver Island in Hecate Strait. A single WERA array operates at 13.5 MHz in Tofino, on the west coast of Vancouver Island. ONC also operates two X-band Wave Monitoring Systems (WaMoS) at 10 GHz.

In addition to the quality-controlled data products, heat maps that are showing spatial availability are also accessible through ONC's data system webpage, Ocean3.0. Other data product such as high level of QC'd data, temporal availability, tides information. Last but most importantly is the application of trajectory tracking, the preliminary work of which has been done (Figure 3), which will be presented.



Figure 1. Maps showing radars operated by Ocean Networks Canada.

2 Background and Quality Control results

2.1 Background

Environmental conditions impact the quality and accuracy of ocean surface currents data measured with HF radar installations (Panduan et al., 2006). The main influencers in the data quality can be classified into three general areas: characteristics of the wind and waves, surface salinity in the coverage area, and complexity of the ocean current pattern. Tidal currents are strong throughout the Strait of Georgia and seasonal wind forcing causes large-scale coastal upwelling and downwelling currents. In addition, localized freshwater river flow from the Fraser River has a large effect on the coverage and quality of CODAR observations in the Salish Sea (Halverson and Pawlowicz, 2016).

2.2 Quality control results

Ocean Networks Canada aims for the highest standards of data Quality Assurance and Quality Control (QA/QC) testing and reporting. In order to provide a fast and accurate assessment of the data quality, ONC has adopted the guidelines of the Quality Assurance of Real-Time

Oceanographic Data (QARTOD), from which quality tests are applied to both radial and total ocean current data (Fig. 2). In particular, five different tests for quality evaluation are applied to our CODAR data:

1.Radials test

2.Syntax test

3.Maximum threshold test, depending on the maximum velocity during storm event

4. Valid location test

5.Geometric Dilution of Precision (GDOP) threshold taking an extremely strict value of 1.25, which can be adjusted in order to meet different levels of science research.

The CODAR data is filtered based on the results of these five tests to provide accurate and reliable data products. Raw data, filtered data and data products such as images, are available for download with their corresponding metadata.



Figure 2: Map showing raw total vectors in the strait of Georgia. Grid points where QC tests failed are marked by different shapes and color according the test that failed. Legend shows tests applied to total vectors.

2.3 Data Products

In addition to the quality-controlled data products, heat maps that are showing spatial availability are also accessible through ONC's data system webpage, Ocean3.0. Other data product such as high level of QC'd data, temporal availability, tides information. Last but most importantly is the application of trajectory tracking, the preliminary work of which has been done (Figure 3), which will be presented.



Figure 3. Drifter tracks that are calculated every hour.

3 Summary

Ocean Networks Canada's goal is to develop and provide high quality radar data products to our users.

Currently ONC has applied quality controls for CODAR radar data based on QARTOD standards. High level of data products is under development. More applications of HF Radar data is very promising.

WERA and WaMoS data are currently provided based on the QA/QC process provided by the

supplier. New data products are under development for these two systems, including two tsunami detection algorithms for the WERA system based on surface current measurements (Dzvonkovskaya et al., 2017, Grilli et al., 2017, Guérin et al., 2018).

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Session 3: Application and Research Results

High-speed target detection and motion parameters

24

estimation with HF radar

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High frequency radar is one of the effective means in over-the-horizon (OTH) detection of high-speed targets with good anti-stealth performance. However, the range dimension and Doppler frequency dimension will expand severely during a long-time observation due to the high maneuverability of targets. The conventional moving target detection (MTD) algorithms cannot effectively accumulate the energy of targets because of range migration (RM) and Doppler frequency migration (DFM), which make the target detection and parameter estimation challenging. The long time coherent integration (LTCI) algorithms utilize the phase information of the echo to correct RM and compensate the DFM, which can enormously improve the integration gain and detection performance of the target echo.

However, complex background noise, mismatched motion model and flickering target signal-to-noise ratio (SNR) in real data will seriously degrades the integration gain of the LTCI algorithms. To solve the above issues, a modified generalized Radon Fourier transform (MGRFT) algorithm based on sliding windows (SW-MGRFT) is proposed. A motion model with the angle between target moving direction and radar line of sight is constructed to match the trajectory for non-radially moving targets, which used in MGRFT algorithm enables effective energy integration on characteristic invariant with feasible computation complexity. Then the SW-MGRFT algorithm makes use of the correlation of inter-windows and in-windows to accomplish coherent integration in each sliding window, and then perform incoherent integration of the SW-MGRFT results exceed the threshold. Finally, numerical simulation and field experiment results show that the proposed algorithm improves the detection performance and the accurate parameter estimation results in contrast with the existing LTCI algorithms.

Keywords: Long-time coherent integration, high-speed target detection, parameter estimation, high frequency radar, sliding windows, modified GRFT

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Short-term prediction of sea surface flows in the Guangdong-Hong Kong-Macao Greater Bay Area based on High Frequency radar observations

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Sea currents prediction is not only important content of marine meteorological research, but also a key scientific issue to be solved in coastal engineering construction. In this research, temporal and spatial characteristics of sea surface currents for the Guangdong- Hong Kong-Macao Greater Bay Area was analyzed firstly, and then a short-term sea surface currents prediction model was established based on the continuous sea surface currents using integrated machine learning algorithm and shore-based High Frequency radar observations. Random forest algorithm was applied to assess variable importance during establishing the short-term forecasting model based on the AdaBoost algorithm. A number of sensitivity tests and comparisons were undertaken. The results indicate that when the prediction window period for sea surface flow fields is 10 steps, the trend of the sea surface flow field based on the integrated algorithm is in good agreement with the measured flow fields. These findings will provide scientific basis and important reference for marine engineering construction, disaster prevention and reduction and coastal rescue in this area.

Keywords: forecasting, random forests, AdaBoost, HF radar

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Marine disaster prevention and mitigation based on big data Lin Mu

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The ocean is becoming a new space for human development and marine disaster prevention and mitigation are the important parts of ensuring marine economic construction. The improvement of the marine disaster prevent ability is the primary task of "strengthening areas of weakness" in the capacity of marine construction. This report inllustrates the importance of the disaster prevention and mitigation based on big data in two aspects of "natural disaster" and "man-made disater", which indicates the key points of marine informatiztion "understanding the basics" "professional monitoring" "accurate modelling" and "intensified application". In view of the existing problems of marine disaster prevention and mitigation at present, in terms of "natural disasters", this report expounds in detail the sources of marine big data and the main technologies of storm surge risk zoning research. Taking Shenzhen city as an example, it introduces storm surge risk zoning from four aspects: risk, exposure, vulnerability and disaster prevention and mitigation ability. As for "man-made disasters", aiming at the problems of the lack of original technology that can be applied in the marine environmental protection system, the poor integration of multi-source information, and the lack of convergence of cross-domain technologies, we fully integrated the marine radar observation data, put forward the design concept of "three integrations" of the protection system, and developed the "China Sea Oil Spill Drift and Rescue Integrated Forecast and Early Warning System". Considering the joint effect of high-resolution wind-wave-current coupling model on oil spill diffusion, the multi-dimensional Monte Carlo random statistical method is introduced to analyze the spatial and temporal randomness of drift diffusion targets and the error uncertainty of numerical prediction field, which improves the simulation accuracy of oil spill expansion and drift range. The convex hull algorithm of topological geometry is introduced to calculate the oil spill diffusion range, which greatly improves the calculation efficiency. At the same time, considering the commonness of oil spill and rescue target, the prediction results of oil spill and rescue target are integrated with the emergency resource database, so as to realize the rapid prediction and early warning of oil spill, people in distress and ship drift.

Submesoscale eddies and tidal currents in eastern Guangdong identified using high-frequency radar

observations

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The statistical characteristics and mechanism underlying the generation of submesoscale eddies in eastern Guangdong were examined using high-frequency radar (HFR) observations of surface currents during 2019_2021. By applying the vector geometry-based (VG) eddy detection algorithm to HFR datasets, 313 submesoscale eddies with 229 cyclones and 84 anticyclones were identified. Cyclonic eddies were significantly more common than the anticyclonic eddies, but they had similar distributions in terms of eddy lifespan and radius. The trajectories showed that the submesoscale eddies revealed annual variations with decreasing cyclonic eddies and increasing anticyclonic eddies during the observation period. Submesoscale eddies displayed a remarkable spatial distribution. Cyclonic (anticyclonic) eddies frequently occurred at the eastern and southeastern regions of the Huilai Headland (south of the Nanao Island). Eddy evolution was linked to the production of vorticity in a narrow layer along the headland, the injection of vorticity

into the interior at the point of flow separation, and vorticity damping by bottom friction. The application of HFR allows us to obtain high resolution tidal currents. Tidal harmonic analysis results show that the tide in this area mostly belongs to regular semidiurnal tide, and the shallow water components are not negligible. The M2 tide current is mainly counterclockwise reciprocating flow. The direction is along the northwest-southeast.

Application of Oceanic HF Radars: Challenges Remaining

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Oceanic HF radars have been installed along many coasts all around the world, most of which happened within the recent two decades. It is believed that surface dynamics such as currents, waves and winds field could be extracted from HF radar ocean echoes, because the radar backscatter signals are obviously modulated by them when we look into the Doppler spectra of the echo's time series. However, only currents field results are of reluctantly enough credibility to be applied in oceanographic studies and marine engineering, while the wave and wind outputs, especially the mid or long range ones, could not satisfy the application requirements and the situation may cause some doubts on the applicability of HF radar in marine activities. To tackle this problem, the HF radar community needs put more efforts on the following aspects.

First of all, theories on how to evaluate the credibility of HF radar outputs should be established. They would facilitate the users on deciding how much weight assigned to radar results and on judging to what extent the radar results could be used in their investigations. In addition, the purpose of verification tests should be changed from verifying radar's detection capability to verifying the validity of the credibility model of each HF radar system. Secondly, studies on the HF radio wave scattering mechanisms by sea surface should be intensified. Though there exist good theories on the mechanisms, inconsistences between theories and real Doppler spectra still can be observed especially in higher order backscatter spectra. Even in the first order backscatter, the two \pm Bragg peaks had been found be affected by slightly different currents where the difference could be larger than 10cm/s. Thirdly, we should answer the question on whether the wind velocity can be inversed from HF radar echoes. Studies found that the wind spreading factor

could be extracted from HF radar data, where this is an impressive and unique merit of HF radar detection, but there exists velocity ambiguity between wind spreading and wind velocity, as indicated by the Mitsuyasu relationship. Multi-frequency HF radar system may alleviate this problem but essentially it only shift the ambiguity wind velocity limit to higher values. Fourthly, directional waveheight spectra inversion algorithms need more study as new scattering mechanisms may be achieved. Fifthly, novel radar system techniques should be applied to make oceanic HF radar more applicative to marine users. For example, MIMO techniques have been applied in HF radars and the area requirement on array occupation had been reduced a lot without sacrificing the system's DOA estimation capability.

Flow dynamics at the coasts of Phu Yen, Vietnam, at the onset of Southwest Monsoon

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Sea surface currents (SSC) used in this report were obtained from WERA HF Radar measurements deployed in May, 2019 at Phu Yen coasts of Vietnam and have been reconstructed by 2DVar/EOF technique. Spectral analysis (SA) approach was conducted to assess the flow regimes in the regions. The results showed that the flow variability is largely governed by inertial forces (50-hour period equiv. 2.08 days) and diurnal tidal constituents (21~23-hour period equiv. 0.88~0.97 days) (Fig.1). In terms of spectral analysis along cross-shore and long-shore dimensions, the energy spectra vary more largely in long-shore compared to those in cross-shore dimension. However, both were in agreements with the shape of energy cascade curve (k-5/3)^{[1], [2]}, where the kinetic energy comes from larger scale (non-local) processes (Fig. 2). A power spectral density (PSD) front was found at the range of ~20km far from the coast (Fig1-b,c) which corresponded to the front of sea surface temperature (SST) obtained from global reanalysis data. From the middle

of May, the drop in SST in association with the divergence of SSC nearshore were observed which indicated the presence of an upwelling - an interesting feature of this area during the Southwest Monsoon. To conclude, the flow variability in the area is governed largely by non-local processes i.e. winds and larger-scale circulations and can be well captured by HF Radar system. These findings are consistent with results from existing studies in the region ^{[3], [4]}.

Keywords: Flow dynamics, HF Radar, sea surface current, upwelling

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New sights into the ocean surface currents near the Bohai strait revealed by HF radar

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The North-West Yellow Sea (NWYS) is a shallow semi-enclosed sea, to the north of Shandong peninsula, which connects the Bohai Sea and Yellow Sea through the Bohai strait. Previous work studied the region mainly by numerical tools or limited measurements from traditional instruments. In this paper, it is for the first time that the surface circulations in a large area are presented with a long time period of two years, with data collected from a routinely operated high-frequency radar (HFR) system with a pair of OSMAR071G type radars.

The HFR system continuously provides mappings of up to 100km off the coast, in near-real-time with high spatial and temporal resolutions. Benefiting from the new equipment, known for precision of the coastal surface current, the sea surface currents in this region are studied in climate. The radar-derived current measurements are examined using ADCP measurements. They are also compared with popular reanalysis datasets.

The flowing pattern varies significantly in different seasons. Seasonal and annual patterns are described. It is ovserved that the North Shandong Coastal Current (NSCC) is more significant in spring and fall rather than in winter and summer when the monsoon is more severe. The currents are observed to show good coincidence with local winds.

Keywords: High-frequency radar, North-West Yellow Sea, North Shandong Coastal Current, surface currents

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Spaceborne oceanic lidar emulator and its applications

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The ecological environment of the ocean is closely related to the earth's climate and human life. Phytoplankton in the upper ocean provide nearly half of the earth's primary productivity and play an essential role in the marine environment and the earth's carbon cycle^[1, 2]. Spaceborne lidar is an active remote sensing tool for detecting the optical characteristics of the upper ocean^[3, 4]. It has the advantages of broad coverage, high temporal and spatial resolution, free from the limit of solar altitude angle and vertical resolution, etc^[5]. Spaceborne lidar has shown significant advantages in detecting phytoplankton in the upper ocean^[6, 7]. However, there is no spaceborne lidar dedicated to ocean exploration at present. The existing spaceborne lidar CALIOP and ICESat-2 have disadvantages such as low vertical resolution and shallow detection depth, and their data products can only be applied to primary verification research^[8-10]. Therefore, there is an urgent need to develop new spaceborne oceanic lidar, in which China has a first-mover advantage^[11], but the development of satellite payload needs strict demonstration. An oceanic lidar signal

simulation, data processing, and application^[12].

This paper introduces a spaceborne oceanic lidar emulator, including its principle, composition, software, simulation results, and applications. The emulator can simulate the global ocean lidar return signal, help to build the spaceborne lidar data inversion algorithm, and evaluate the lidar hardware parameters. The comparisons between the simulations and shipborne lidar experiments are given. Finally, the research progress of the simulator in the evaluation of the detection depth and the optimal detection wavelength of spaceborne oceanic lidar is presented. **Keywords:** Oceanic Lidar; lidar emulator; radiative transfer

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Session 4: POSTER

Surface Currents in the Southwestern Taiwan Strait in winter

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High Frequency Surface Wave Radar (HFSWR) is a remote sensing technology which can monitor the dynamic parameters of ocean surface such as winds, waves and currents in a wide range, all-weather and all-time^[1-4]. Research on the currents measured by HFSWR in the southwestern Taiwan Strait in 2013 is helpful in improving understanding of offshore physical phenomena and ocean dynamic processes.



Figure 1 (a) Map of the southwestern Taiwan Strait and HF radar coverage areas. (b) The bathymetry of research region. One can see the Dongshan and Longhai radar stations marked as red points, one mooring site of the Buoy marked as a black five-point star.

To investigate the characteristics of ocean tidal currents at various locations, currents at four grid points (radiolabeled A, B, C and D in Figure 1) are compared.



Figure 2 Two-sided (clockwise and counterclockwise) rotary spectral estimates of the surface currents measured at point A, B, C, and D. The eight largest statistically significant tidal constituents obtained from tidal harmonic analysis are labeled.

A rotary spectrum analysis conducted at these four locations (Figure 4) shows large peaks at semi-diurnal frequencies for both counterclockwise and clockwise components^[5]. Smaller and

slightly broader peaks exist at diurnal frequencies. Moreover, harmonic analysis suggests that statistically significant spectral lines at the nonlinear harmonic frequencies of 4 and 6 cycles per day are also present, albeit with amplitudes at most 2% as large as those of the source astronomical constituents. These "shallow water" constituents, arising from interactions between the largest astronomical tides, are visible but not prominent in the spectra. There is no significant peak at the inertial frequency (0.78 cpd at 23°N) in both vector and radial currents. Most of the residual currents occur at periods of 2 days or longer.

We separate the ocean surface vector currents U into a tidal part and a residual, U_{tidal} and $U_{residual}$ respectively:

$$U = U_{tidal} + U_{residual} \tag{1}$$

To further explain the detail of dynamics in this area, we examine the along and cross-strait momentum balance within the framework of time and space. Considering a barotropic dynamics^[6-9], we take into account surface wind stress and bottom friction. The momentum equations for horizontal velocity in the along-strait and cross-strait directions are:

$$\begin{cases} \frac{\partial u}{\partial t} + \left(u\frac{\partial u}{\partial x} + v\frac{\partial u}{\partial y}\right) - fv = \frac{\tau_x}{\rho_w H} - g\frac{\partial \eta}{\partial x} - Ru \\ \frac{\partial v}{\partial t} + \left(u\frac{\partial v}{\partial x} + v\frac{\partial v}{\partial y}\right) + fu = \frac{\tau_y}{\rho_w H} - g\frac{\partial \eta}{\partial y} - Rv \end{cases}$$
(2)

where x, y represent along and across directions, ρ_w is density of seawater, τ is wind stress derived by wind speed at 10 m height from CCMP dataset (Large & Pond, 1981), $u \frac{\partial u}{\partial x} + v \frac{\partial u}{\partial y}$ is horizontal advection in u direction, R is a friction coefficient, here we use $R = 1.43 \times 10^{-5} s^{-1}$, whose inverse is a characteristic decay time. We used a tuned value (details are explained below), with a decay time of a little less than a day. H is the ocean depth. We show the overall importance of each term by making maps of its mean value (Figure 3 and 4).

In the cross-strait direction (Figure 3), the momentum is clearly dominated by the geostrophic balance over the entire region, as the patterns and magnitudes of mean value between the Coriolis and pressure gradient terms closely match. In contrast, the mean value in the frictional and time-dependent acceleration terms is at least two orders of magnitude lower, and the mean value in nonlinear advection and wind stress terms even smaller.

In the along-strait direction (Figure 4) the balance is more complicated. Nonlinear advection remains negligible, so that the dynamics are inherently linear, and the Coriolis and pressure

gradient terms are (mostly) the largest, especially over the deeper water north of the Taiwan Bank (TWB). Thus the geostrophic balance holds for both directions in deeper water. However, directly over the TWB it is the wind stress and pressure gradient terms that are the largest. The time-dependent acceleration term approaches significance only in the shallow areas close to the Chinese coastline and the northwest edge of the TWB.



Figure 3 Mean value of (a) acceleration, (b) horizontal advection, (c) Coriolis, (d) wind stress, (e) pressure gradient and (f) bottom friction term in cross-strait direction. Units are in log 10(m/s-2).



Figure 4 Mean value of (a) acceleration, (b) horizontal advection, (c) Coriolis, (d) wind stress, (e) pressure gradient and (f) bottom friction term in cross-strait direction. Units are in log 10(m/s-2).

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An Improved Nonlinear Iterative Method for Directional Ocean Wave Spectrum Inversion with HF Radar

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Directional ocean wave spectrum extraction for high frequency radar has been a challenge with limitations of the existing inversion approaches and oceanic rough surface scattering model. Barrick et al. established the relationship between radar Doppler echoes and the ocean wave spectrum, and then researchers developed series of processes to implement the inversion. Commonly adapted means is linearization of the integral equation under some assumptions that is not applicable in lower radar frequency or high sea state. In addition, the nature of departure from the second-order theory in such conditions has been reported to result in significant bias. In this article, an improved nonlinear iterative method is proposed with new perspective to the scattering mechanism in consideration of the higher-order Stokes wave. The corresponding formula has been deduced and numerical simulations of Doppler spectrum show higher consistency with the actual situation. Due to the nonlinear nature of these equations, an iterative algorithm is designed for each spectral point with enough signal to noise ratio. The iterative errors are monitored in order to eliminate abnormal spectral points in terms of certain criteria. Preliminary inversed results of field data indicate the validity and feasibility of the propose approaches.

Keywords: Directional Ocean Wave Spectrum; Nonlinear Iterative Method

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Analysis of Direction-of-Arrival Estimation for a Floating High-Frequency Radar with Yaw Rotation

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Mounting one high-frequency (HF) radar on a floating platform can gain more flexibility and maneuverability, but the direction-of-arrival (DOA) estimation will suffer from the yaw rotation. Some experiments tried to avoid apparent rotations so that the DOA estimation is just the same as onshore, so the DOA estimation problem for apparent rotations can be ignored. The analysis of DOA estimation is needed to evaluate and optimize adaptive beamforming methods of yaw compensation. Two key parameters, the gain of noise power (GNP) and the beam shape keeping factor (BSKF), are proposed to analyze the DOA estimation. GNP is defined based on the quadratic norm of the adaptive weights of beamforming. A larger GNP means a smaller signal-to-noise ratio (SNR), so GNP can explain the stability of DOA estimation. The BSKF is constructed by the steering vector errors between the real-time rotating array and the assumed fixed array. A smaller BSKF means a less biased DOA estimation, so the BSKF can explain the bias of DOA estimation. In previous work, we have introduced one adaptive beamforming method called global pseudo-fixed beamforming (GPFB) by minimizing the BSKF. We further introduce another adaptive beamforming method called balanced global pseudo-fixed beamforming (BGPFB) by balancing the GNP and the BSKF. BGPFB can avoid the SNR loss of GPFB by making the GNP less than 0 dB, so BGPFB's DOA estimation is more stable. BGPFB's BSKF is larger than that of GPFB, so BGPFB's DOA bias is larger than that of GPFB. Simulations of one object, simulations of radial current, and field experiments of radial current all confirm the two parameters' effectiveness in evaluating the stability and bias of DOA estimation of the two adaptive methods. For the experiment current inversion, GPFB makes the number of points that the occurrence of inversion current is more than 90% CITs decrease by 30% for the CIT set that yaw range is more than 40 degrees. The average RMSE decrease of GPFB is 1.7 cm/s and the decrease of BGPFB is 1.2 cm/s. Because the echoes' SNR in the comparation area is high and the DOA bias of GPFB is less biased, GPFB is superior to BGPFB in the comparation area.

Keywords: floating high-frequency surface wave radar (HFSWR), yaw compensation, adaptive beamforming, direction-of-arrival (DOA) estimation.

Retrieval of Ocean Surface Wind Field Using a

Dual-Frequency MIMO HF Surface Wave Radar System

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Retrieval of ocean surface wind field information from first-order ocean echo of HF surface wave radar has been troubled many investigators. In previous studies, our team extracted the directional spreading factor of ocean wave spectrum from the first-order echoes of HF surface wave radar, and tried to reconstruct wind speed information based on this parameter. However, it was indicated from the measured data that the spreading factor is positively correlated with the wind speed within a lower wind speed, while negatively correlated when the wind speed is higher, which is similar to the property observed by Mitsuyasu (1975) with a cloverleaf buoy. In this study, we use a dual-frequency MIMO radar system to extract the spreading factors of Bragg waves of 0.2874Hz and 0.3587Hz, corresponding to the radar frequency of 7.9398MHz and 12.3648MHz respectively. We find that the responses of spreading factors to wind speed vary between the two frequencies. Based on this an empirical parabolic model is established by the least square method with radar data and ERA5 measured wind speed, which is finally applied to invert the ocean surface wind field. More than two months of observed radar results are also evaluated at two locations which are 70km and 100km away from both the two radar stations respectively. It shows that the correlation coefficients between estimated wind speed and ERA5 measured wind speed are higher than 0.8 and the RMS differences are less than 2.5m/s at both locations selected.

Keywords: HF surface wave radar, dual-frequency, MIMO, first-order echo, spreading factor, wind field

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Research on Characteristics of the First-order Sea Clutter for Floating Platform High Frequency Hybrid Sky-surface Wave Radar

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The high-frequency hybrid sky-surface wave radar (HSSWR) is a hybrid radar developed based on the traditional over-the-horizon radar (OTHR) and the surface wave radar (SWR) systems. The complementary advantages of the two radar systems have been realized, and they have been preliminarily applied in the fields of ocean dynamic parameter inversion and low-speed target detection. The first-order sea echo spectrum is an essential part of the radar received echo spectrum, and it is also one of the prominent carriers for extracting ocean state parameters. Compared with the traditional SWR, the modulation experienced by the first-order sea echo spectrum received by the floating-platform HSSWR is more complicated, including modulation of the echo spectrum by passive interference such as ionospheric phase path disturbance. The modulation introduced by the oscillation motion of the receiving platform will also affect the shape and distribution of the sea echo spectrum. The premise of using the sea echo signal received by the floating-platform HSSWR for ocean dynamic parameter inversion is to compensate and suppress the modulation of the sea echo spectrum. The effective interference suppression algorithm is based on a complete understanding of the characteristics of the echo spectrum influenced by the interference, and it is necessary to construct a set of feasible sea echo spectrum models to guarantee related research.

This paper studies the echo characteristics of HSSWR on a floating platform with sway and yaw motion by theoretical model and experimental analysis. Based on the scattering model of sky-surface wave mode, the first order cross section for the floating platform sky-surface wave radar with platform sway and yaw motion is derived and simulated. Experiments are conducted with the newly-developed HSSWR system, of which the receiving arrays are simultaneously deployed on a floating platform and the shoreside. Experimental measurements concerning the frequency shift, Doppler width, and the arrival angle of the E-layer/F-layer ionosphere reflected direct wave are compared and analyzed. The study demonstrates the feasibility of the proposed

modeling and provides valuable guidelines for the sea clutter characteristics analysis and the data quality assessments of the floating-platform HSSWR.

Keywords: Hybrid Sky Surface Wave Radar; Radar Cross Section

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